MEDICAL REGISTRATION IN NEW ZEALAND

Medical Council of New Zealand, July 2013

TE KAUNIHERA RATA O AOTEAROA
MEDICAL COUNCIL OF NEW ZEALAND

Protecting the public, promoting good medical practice
Te tiaki i te iwi whānui me te whakatairanga pai i te mahi e pā ana ki te taha rongoā
The primary purpose of the Medical Council of New Zealand is to promote and protect public health and safety through the registration of appropriately trained doctors and the maintenance of medical competence.

The Council ensures competence of doctors in New Zealand through such processes as:

- the accreditation of New Zealand and Australian medical schools
- assessment of overseas qualifications
- accreditation of postgraduate training and continuing professional development programmes.

The Council has also established supervision processes for newly registered doctors, and recertification processes for those who renew their practising certificates annually.

Maintenance of standards also requires identification and assistance for those doctors who, for health or other reasons, are not practising at an adequate standard.

The Council works with the public and the profession to achieve this. Complaints investigation is done by the Health and Disability Commissioner, while discipline matters are considered by Health Practitioners Disciplinary Tribunal.
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Background information

Legislation

1. Medical practice in New Zealand is governed by the Health Practitioners Competence Assurance Act 2003 (HCAA).

2. The Medical Council of New Zealand (the Council) is the registration authority responsible for implementing this legislation to regulate doctors.

3. The principal purpose of the HPCAA is to protect the health and safety of the public by ensuring doctors are competent and fit to practise medicine.

Practice of medicine

4. To practise medicine in New Zealand all doctors must:
   - be registered, and
   - hold a current practising certificate.

5. The Medical Council defines the practice of medicine as:
   - advertising, holding out to the public, or representing in any manner that one is authorised to practise medicine in New Zealand
   - signing any medical certificate required for statutory purposes, such as death and cremation certificates
   - prescribing medicines whose sale or supply is legally restricted to prescription by medical practitioners
   - assessing, diagnosing, treating, reporting, or giving advice in a medical capacity, using the knowledge, skills, attitudes and competence initially attained for the MB ChB degree (or equivalent) and built upon
in postgraduate and continuing medical education, wherever there could be an issue of public safety.

‘Practice’ in this context extends beyond clinical medicine to include teaching, research, medical or health management. This may be in hospitals, clinics, general practices and community or institutional contexts, whether paid or voluntary.

Fitness for registration

6. The Council may register a doctor only if the applicant:

■ has satisfied the Council that he or she is able to communicate effectively in and comprehend English

■ has not been convicted by any court of an offence punishable by imprisonment for three months or longer, and the offence does not affect the doctor’s fitness to practise

■ does not suffer from a physical or mental disorder that may affect his or her ability to practise medicine

■ is not under investigation or the subject of professional disciplinary proceedings in New Zealand or in another country, and any investigation does not affect his or her fitness to practise

■ is not subject to an order of a professional disciplinary tribunal (in New Zealand or overseas), or of an accredited educational institution, or of an authority or of a similar body in another country; unless any order in existence does not affect his or her fitness to practise

■ is not a danger to the health or safety of the public.

Scopes of practice

7. Once you complete the registration process you will be registered within a ‘scope of practice’.

8. Your scope of practice will depend on your qualifications, your experience, and the purpose and duration of your employment or appointment in New Zealand.
9. For 12 months, and in some cases significantly longer, certain conditions will be included in your scope of practice such as where you may work, who your supervisor is, and the duration of your appointment.

There may also be requirements you must fulfill before you can change to a different scope. (See Appendix B for details.)

10. You may work only within those conditions. If your employment situation changes you must apply to change those conditions. If all policy requirements are satisfied a new practising certificate showing those changes will be issued.

11. To apply for a change to your practising certificate conditions, follow the instructions in paragraphs 30–34.

12. Doctors who want to become permanently registered are usually required to work under supervision for at least 6-12 months in either a:
   - provisional general scope, or
   - provisional vocational scope.

13. Doctors visiting New Zealand for a special purpose as defined by Council will be registered within a special purpose scope of practice, for a specified period of time.

14. The scopes of practice are defined in Appendix A. To apply for a change to your scope, follow the instructions in paragraphs 35–37. All supervision reports must be received before Council will authorise a change of scope.

15. Working outside your scope of practice may be considered a disciplinary matter. You may be referred to a Professional Conduct Committee to determine whether any action is required.
Working in New Zealand

Induction to medical practice in New Zealand

16. Your employer is required to provide you with a full induction and orientation programme to help you learn about medical practice in New Zealand. Your induction will include information about cultural awareness of the patient groups you will be working with and the community you will be working in. Individual doctors are reminded to be aware of the cultural needs of their patients, colleagues and communities, and to ensure they learn about the culture of their working environment.

Supervision

17. Council requires you to work under supervision for a specified period, and your employer is required to ensure you have appropriate supervision for as long as the Council requires.

18. Doctors registered within either a provisional general or provisional vocational scope of practice must satisfy certain requirements before supervision will be lifted.
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<td>Special purpose scope</td>
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19. If you do not meet all the requirements during the usual timeframe, your provisional scope may be extended. If the conditions are not satisfied within 24 months the Registrar may refer a subsequent application for a practising certificate to Council to decide whether a further practising certificate will be issued.

20. When you are registered you will be referred to Council’s booklet *Induction and supervision for newly registered doctors*. This booklet explains what supervision is required.

21. Your supervisor is required to report to Council on your performance every 3 months, or as requested by Council.
Your responsibilities

Practising certificates, competence and fitness to practise

22. You may only practise medicine in New Zealand if you are registered and hold a current practising certificate.

23. The practising certificate is Council’s assurance to the public that you are competent to practise.

24. Your first practising certificate will be issued to cover either the duration of your appointment or until you start your practising certificate cycle (determined by your birth date).

25. Full details of practising certificate cycles are explained on the Council’s website.

26. To apply for a new practising certificate you must complete an application form which will ask you:
   ■ for details of your recertification activities (including CPD)
   ■ to disclose any issues about your fitness to practise or competence that have arisen during the previous 12 months.

27. If we have received a complete application and your payment before the expiry of your existing practising certificate, you are deemed to hold a practising certificate. This will remain in effect from the date the completed application and fee payment is received until the date the new certificate is issued, or until we notify you the certificate will not be issued. This process allows you to legally continue practising if there are delays in issuing the new certificate.

28. Please allow at least 20 working days for your practising certificate to be processed.
29. If it appears that you have not complied with the recertification requirements, or if there are any issues that may affect the health and safety of your patients we will contact you. Information about Council’s processes for dealing with doctors’ competence and health issues is on our website.

**IMPORTANT REMINDER**

- Giving incorrect information on your application form is an offence. So is practising without a practising certificate or practising outside the scope specified on your practising certificate. On summary conviction, this carries a fine of up to $10,000.

- Your patients maybe disadvantaged if you practise without a practising certificate. They may not qualify for payments from ACC, or for reimbursement from their medical insurance that they would otherwise have received.

- Your medical indemnity insurance may not be valid if you practise without a current practising certificate.

- Practising certificates will not be backdated to cover work done without a current certificate.

- Make sure you apply for your new practising certificate as soon as you receive your renewal notice as we will not backdate them.

**Changing employment or supervision arrangements**

30. Your practising certificate will show your authorised scope of practice and any conditions included in your scope, such as where you are employed, who your supervisor is, and the dates of your employment.

31. Before you change your employment or supervision arrangements while you are registered within a provisional scope, you must apply for a variation to your practising certificate.
32. You need to send in an application form, supervision report, documentation and fee as indicated on the application form. This must all be received in the Council office before your new arrangements start, so that your application can be processed.

33. Your application must satisfy Council policy, and a new practising certificate will be issued only if employment in an accredited or an approved position has been arranged. Your employer will usually organise the application. However, you are responsible for having a valid practising certificate before you start work.

34. Please allow a minimum of 20 working days to process your application.

What happens at the end of the period of supervision?

35. When you have completed your supervision time you may apply to change to either a general or vocational scope (whichever is relevant). You must complete the appropriate form and send it to the Council office with a supervision report, documentation, and fee, as indicated on the application form.

36. Use one of these forms (which you can download from the Council website) to apply for registration within a general or vocational scope:

- **COS3** From provisional general to general (interns and NZREX graduates)
- **COS4** From provisional general to general (other)
- **COS5** From provisional vocational to vocational.

37. Please allow 20 working days to process your application.
Changing address

38. You are legally required to provide Council with three addresses:
   - postal address
   - residential address
   - work address.

39. Your postal address may be your residential or work address, or it may be a different address (eg a PO Box number).

40. Your postal address will be used to send routine mail (eg practising certificate application forms, newsletters).

   You will be asked to nominate one address as your registered address for the medical register. This may be different to your postal address. Only your registered address will be published in the medical register and made available to the public.

   If you don’t want your registered address to be published on the medical register you must write to / email the Council.

   If any of your addresses change, you are legally required to advise us. We acknowledge all change of address notifications in writing.

   If we send mail to you and you do not reply, or if the mail is returned to us, we will then write to you by registered post. If you still do not reply, your registration may be cancelled and you will have to reapply to continue or resume practising medicine in New Zealand.

Recertification and continuing professional development (CPD)

You are required to comply with the recertification programme that is relevant to your particular scope of practice. Recertification programmes include CPD. CPD is continuing medical education, peer review and clinical audit. Compliance with recertification requirements ensures you are clinically and culturally competent to practise medicine as required in the HPCAA.
Before Council issues your practising certificate you must be able to show clearly that you are complying with recertification requirements. When your practising certificate is issued, this means you are recertified.

Details of recertification requirements are in Council’s booklet *Recertification and continuing professional development*.

**The Council’s definition of cultural competence is:**

‘Cultural competence requires an awareness of cultural diversity and the ability to function effectively, and respectfully, when working with and treating people of different cultural backgrounds. Cultural competence means a doctor has the attitudes, skills and knowledge needed to achieve this. A culturally competent doctor will acknowledge:

- That New Zealand has a culturally diverse population.
- That a doctor’s culture and belief systems influence his or her interactions with patients and accepts this may impact on the doctor-patient relationship.
- That a positive patient outcome is achieved when a doctor and patient have mutual respect and understanding.’

The Council has produced several resources on cultural competence which can be downloaded from our website.

Cultural mores identified by the Council are not restricted to ethnicity, but also include (and are not limited to) those related to gender, spiritual beliefs, sexual orientation, lifestyle, beliefs, age, social status or perceived economic worth.

The Council emphasises that doctors need to be able to recognise and respect differing cultural perspectives of patients, for the purpose of effective clinical functioning in order to improve health outcomes for patients.
Health, discipline and competence review

When you are registered the Council will refer you to its publication *Cole’s Medical practice in New Zealand.*

It is important to familiarise yourself with these sections:

- *Good medical practice*
  This section outlines the duties and responsibilities of a doctor registered in New Zealand, and is used as the basis for the standard of practice in this country
- Doctors’ health
- Discipline
- Performance assessments.
Communication

Doctors’ communication with the Council office

Our office hours are 8.30am—5.00pm. You can contact staff by email, phone or mail. Please make an appointment if you wish to visit the Council office.

Each doctor is assigned a registration coordinator, who is the first point of contact for registration queries.

Other Council staff are here to help you with enquiries about health and rehabilitation, prevocational and vocational education, complaints, performance, and Council statements and policies.

You should contact the Council Registrar for advice about professional standards issues. Council meets every two months to discuss registration matters that fall outside registration policy, among other issues.

Council’s communication with doctors

Full details of Council’s policies, statements and resources are available on our website.

A set of Council statements, which are reviewed regularly, are available without charge by emailing info@mcnz.org.nz.

The Council publishes Medical Council News, a newsletter, three times a year. These newsletters are sent to all registered doctors and we recommend you keep them for future reference.

The Council also publishes an annual report each year, which can be viewed online.
Further information

Public information

The Council is required to maintain a register listing all registered doctors’ details. The Council does not publish a hard copy of the register, but it is available electronically. You can also search an online version of the register at [www.mcnz.org.nz](http://www.mcnz.org.nz).

The medical register is a public document, and these details are available to any member of the public:

- Your name
- Your registered address*
- Your registered medical qualifications
- Your registered scope(s) of practice
- Your registration dates
- Whether you hold a practising certificate
- Any conditions on your scope(s) of practice
- Suspension from the register (if applicable).

* Addresses are not published on the online register.

* If you have objected in writing, your address will not appear in the medical register or be made available to the public.

Personal information

64. All information provided on the application for medical registration is personal information in terms of the Privacy Act 1993. You may ask at any time to see it, and correct it if necessary.
Health Practitioners Index

The Ministry of Health has developed and is implementing the Health Practitioner Index (HPI) with support from ACC and health practitioner registration agencies (termed Responsible Authorities in the Health Practitioners Competence Assurance Act).

The Health Practitioners Index (HPI) is a national database that will hold information about all health practitioners, practitioner organisations (employers) and health delivery facilities.

The HPI will uniquely identify health providers, organisations and facilities, so providers can transfer, access and manage health information electronically and securely.

Health practitioner data is being supplied to the HPI by the Responsible Authorities, for example the Medical Council who have signed a Data Provision Agreement.

The HPI comprises three separate indexes for:

- Practitioner – HPI-CPN (Common Person Number, eg, 12ABCD)
- Organisation – HPI-ORG (eg, GA1234)
- Facility – HPI-FAC (eg, FB1032).

The Council provides public register information about all registered doctors, as well as their date of birth and sex (for identification) to the Ministry of Health, under a Data Provision Agreement for the purposes of the HPI.

Full details about the HPI are available on the Ministry of Health’s website.

Cancellation of registration

If you are no longer practising medicine, you may apply to Council to have your registration cancelled at any time. Your registration will also be cancelled if we cannot locate you, or once your employment ceases, if you are registered within a special purpose scope.

Cancellation will not affect your liability for any act done or made before the date your registration is cancelled.

To be restored to the register, check Council’s restoration policies and processes on our website.
Workforce survey

When you apply for a practising certificate you will be asked to complete a workforce survey about your current medical work, including location, work type, level of employment, hours worked per week, employer, and ethnicity. The survey provides statistical information (which does not identify any individual) for workforce planning to the Ministry of Health, training institutions and medical researchers.

Disciplinary Levy

The disciplinary levy is part of the practising certificate fee. It funds the costs of the Health Practitioners Disciplinary Tribunal and the Professional Conduct Committees.

David Dunbar
Registrar
July 2013
Appendix A

Scopes of practice

We have defined three categories of scopes of practice:

- general – eg resident medical officers (RMO) in vocational training
- vocational – eg doctors who have completed their vocational training and have been awarded (or gained) a postgraduate qualification
- special purpose – eg doctors visiting New Zealand for a specific reason such as a locum tenens for up to 12 months.

The two additional scopes, provisional general scope and provisional vocational scope, require doctors to work under supervision for at least 6 to 12 months.

General scope of practice

A doctor who has completed the requirements of a provisional general scope can be registered within a general scope of practice.

Examples are RMOs who have completed their first postgraduate year and may be in vocational training; doctors who have not started, or have chosen not to do vocational training; or doctors nearing retirement who are no longer meeting the requirements for registration within a vocational scope of practice.

A doctor registered within the general scope of practice must comply with the recertification requirements for doctors in a general scope of practice, to maintain and improve competence and be recertified each year.

Vocational scope of practice

A doctor who has completed his or her vocational training as a specialist and has appropriate qualifications and experience, can be registered within a vocational scope of practice. There are 36 vocational scopes recognised by Council. These vocational scopes are listed on Council’s website.
A doctor registered in a vocational scope must participate in an approved recertification programme to maintain competence and be recertified each year.

**Provisional general scope**

All newly registered doctors must work under supervision for at least 6-12 months.

During this time they are registered within a provisional general scope of practice and their performance will be assessed by senior colleagues.

They must complete certain requirements to be registered within a general scope.

New Zealand and Australian graduates who have already completed their internship in Australia are exempt from working under supervision.

**Provisional vocational scope**

International medical graduates who have completed vocational training programmes, and who are not already registered in New Zealand must work under supervision for 6-18 months. They are registered within a provisional vocational scope of practice.

During this time they must complete Council’s requirements for registration in a vocational scope. Those requirements may include working in a formal assessment position and/or undertaking a vocational practice assessment.

**Special purpose scope**

A doctor who satisfies the registration criteria to visit New Zealand for one of the following defined and specific reasons may be registered within a special purpose scope of practice:

- a visiting expert / teacher
- for postgraduate training and/or experience
- a medical researcher
- a locum specialist working in New Zealand for up to 12 months
help in an emergency or for any other reason
help during a pandemic or disaster
provide teleradiology services.

These doctors will be required to work under supervision for the duration of their employment or appointment.

This ‘special purpose’ scope of practice is not a pathway to permanent registration, nor can any time spent on a special purpose scope be counted towards any other form of registration.
Appendix B

Registration requirements and changing scopes

Registration pathways

1. New Zealand and NZREX graduates (interns).

Interns may be registered within a provisional general scope of practice for up to two years to work:

- in a New Zealand hospital or general practice accredited by the Council
- under the supervision of a registered doctor approved by the Council
- for a minimum of 40 weeks, during which he or she:
  - completes at least 4 three-month runs of which at least 2 are category A runs (one surgical and 1 medical) and 2 may be either category A or B runs (medical or surgical)
  - completes 3 satisfactory runs immediately prior to registration in a general scope of practice, at least 2 of which must be in different disciplines
  - is certified as competent in cardiac life support.

To qualify for registration in a general scope they must complete the requirements in the Council’s publication *Education, training and supervision for interns*, and be recommended for registration by the intern supervisor.
2. Competent authorities (United Kingdom and Irish graduates)

Graduates of university medical schools accredited by competent authorities and who have completed their internships in the UK or Ireland, will be registered within a provisional general scope to work:

- in a New Zealand hospital, general practice, educational institution or other organisation approved by the Council
- under the supervision of a registered doctor approved by the Council
- for at least 6 months full time or equivalent
- completes two satisfactory runs immediately prior to registration in a general scope of practice.

To qualify for registration in a general scope they must have:

- completed 6 months working within a provisional general scope
- received satisfactory reports for the 2 runs completed (or 6 months worked) immediately before applying for registration within a general scope
- been recommended for registration within a general scope of practice by their supervisor.

3. Comparable health systems

Doctors who have worked for 36 of the last 48 months in a country with a comparable health system to New Zealand’s and who have full registration in their country may be registered within a provisional general scope of practice to work:

- in a New Zealand hospital or general practice approved by the Council
- under supervision of a registered doctor approved by the Council
- for at least 1 year full time or equivalent
- in a position for which the doctor has appropriate experience.
To qualify for registration within a general scope they must have:

- completed 12 months’ practice within a provisional general scope of practice
- received satisfactory reports for the 3 runs completed (or 9 months worked) immediately before applying for registration within a general scope
- been recommended for registration within a general scope of practice by their supervisor.

The Council may propose to include conditions on a doctor’s general scope of practice if it is thought necessary in the interests of public health and safety.

Contact details

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FREEPHONE 0800 286 801
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Appendix C

Forms

- Download CPD1 Collegial relationship agreement
- Download CPD2 Record of collegial relationship meetings
- Download CPD3 Record of clinical audit
- Download CPD4 Record of educational activities
- Download CPD5 Record of peer review
- Download CPD6 Record of optional activities
- Download CPD7 Training Registrar confirmation
- Download CPD8 Recertification programme for doctors registered in a general scope
- Download CPD9 Verification of recertification requirements for doctors working at District Health Boards as Medical Officers